

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Sanjeev Redkar

Serial No.: 10/082,003

Filed: February 21, 2002

Title: Compositions And Formulations of 9-Nitrocamptothecin
Polymorphs And Methods of Use Therefor



PATENT APPLICATION

Group: 1615

Examiner: Amy Pulliam

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A PRIOR PATENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SuperGen, Inc., the owner of 100% interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer, of prior Patent No. 6,492,379. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submission on behalf of any organization (e.g., corporation, partnership, university, government agent, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2. ☒ The undersigned is an attorney of record.

01/02/2004 CCHAU1 00000119 232415 10082003

01 FC:1814 110.00 DA

Respectfully submitted,
WILSON SONSINI GOODRICH & ROSATI

Date:

Dec. 22, 2003


Shirley Chen, Reg. No. 44,608

650 Page Mill Road
Palo Alto, CA 94304
(650) 493-3900

☒ Charge Deposit Account 23-2415 the sum of \$110.00 for a large entity for the terminal disclaimer fee and for any deficiency.

☒ PTO suggested wording for terminal disclaimer was:

☐ unchanged ☒ changed (if changed, an explanation should be supplied. Explanation:

Changed wording of fee payment to
indicate Amount, Deposit Account, and
Status of Owner.

>*Certification under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee.<

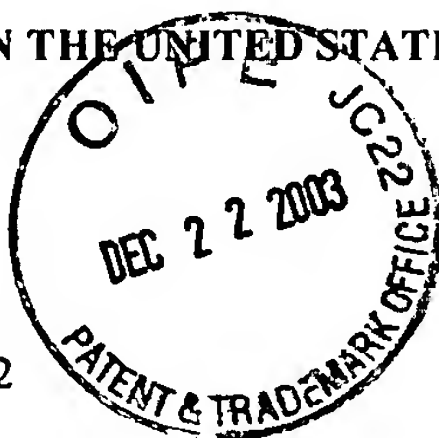
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Sanjeev Redkar

Serial No.: 10/082,003

Filed: February 21, 2002

Title: Compositions And Formulations of 9-Nitrocamptothecin
Polymorphs And Methods of Use Therefor



PATENT APPLICATION

Group: 1615

Examiner: Amy Pulliam

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A PRIOR PATENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SuperGen, Inc., the owner of 100% interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer, of prior Patent No. 6,482,830. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submission on behalf of any organization (e.g., corporation, partnership, university, government agent, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2. ☒ The undersigned is an attorney of record.

01/02/2004 CCHAU1 00000119 232415 10082003

02 FC:1814 110.00 DA

Respectfully submitted,
WILSON SONSINI GOODRICH & ROSATI

Date:

Dec. 22, 2003


Shirley Chen, Reg. No. 44,608

650 Page Mill Road
Palo Alto, CA 94304
(650) 493-3900

☒ Charge Deposit Account 23-2415 the sum of \$110.00 for a large entity for the terminal disclaimer fee and for any deficiency.

☒ PTO suggested wording for terminal disclaimer was:

☐ unchanged ☒ changed (if changed, an explanation should be supplied. Explanation:

Changed wording of fee payment to
indicate Amount, Deposit Account, and
Status of Owner.

>*Certification under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee.<

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Sanjeev Redkar)
Serial No.: 10/082,003)
Filed: February 21, 2002)
Title: Compositions And Formulations of 9-)
Nitrocamptothecin Polymorphs And Methods of)
Use Therefor)

PATENT APPLICATION
Group: 1615
Examiner: Amy Pulliam



**TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION
OVER A PENDING SECOND APPLICATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SuperGen, Inc., the owner of 100% interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer filed prior to the grant of any patent granted on commonly owned pending second Application No. 10/080,530, filed on February 21, 2002. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the second application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of any patent granted on the second application, as shortened by any terminal disclaimer filed prior to the patent grant, in the event that any such granted patent: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer filed prior to its grant.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submission on behalf of any organization (e.g., corporation, partnership, university, government agent, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2. ☒ The undersigned is an attorney of record.

01/02/2004 CCHAU1 00000119 232415 10082003

03 FC:1814 110.00 DA

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Date: Dec. 22, 2003


Shirley Chen, Reg. No. 44,608

650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

- ☒ Charge Deposit Account 23-2415 the sum of \$110.00 for a large entity for the terminal disclaimer fee and for any fee deficiency.
☐ PTO suggested wording for terminal disclaimer was:
☒ unchanged ☐ changed (if changed, an explanation should be supplied). Explanation:

>*Certification under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee.<